

Regulatory and Other Committee

Open Report on behalf of Pete Moore, Executive Director Finance and Public Protection

Report to:	Audit Committee
Date:	20 June 2016
Subject:	Work Plan

Summary:

This report provides the Committee with information relevant to the core assurance activities currently scheduled for the 2016/17 work plan.

Recommendation(s):

1. Review and amend the Audit Committee's work plan ensuring it contains the assurances necessary to approve the Annual Governance Statement 2016.
2. Review the outstanding actions designed to improve the effectiveness of the Committee.

Background

- 1 The work plan has been pulled together based on the core assurance activities of the Committee as set out in its terms of reference and best practice (see Appendix A – work plan to March 2017).
- 2 The following items from the June programme have now been deferred to the July Committee:
 - Draft Annual Governance Statement 2016
 - Annual report on the effectiveness of the Council's complaints and compliments process and the Local Government Ombudsman's Report.
 - Annual Report for Counter Fraud
- 3 Appendix B shows the Committee's action plan – which helps keep track of actions agreed during meetings. Last year a number of areas for consideration were identified:-
 - Reviewing and encouraging transparency in partnership decision making

- Understanding and seeking assurance over the governance and risks associated with our key partners
- Facilitating risk management training and awareness for members and staff. To clarify the understanding of the level of risk which the Council is prepared to accept across its key activities/business units
- Overview of the Constitution
- Compliance with the Transparency Code.

Does the Committee still want to seek assurance around these areas?

Conclusion

The work plan helps the Committee ensure that the Committee effectively delivers its terms of reference and keep track of areas where it requires further work and/or assurance.

Consultation

a) Policy Proofing Actions Required

n/a

Appendices

These are listed below and attached at the back of the report	
Appendix A	Work Plan to March 2017
Appendix B	Audit Committee Action Plan 2016/17

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by Lucy Pledge, who can be contacted on 01522 553692 or lucy.pledge@lincolnshire.gov.uk.